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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Michael S. Pazar

Appln. No.: 10/633,394

Filed: July 31, 2003

For: RECORD RECEPTACLE FOR A  
BURIAL VAULT

Attorney Docket No: 568/261

Examiner: Not yet assigned

Art Unit: 3677

### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97, Applicant hereby cites the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,754,940	June 29, 2004	Boots
6,463,703	October 15, 2002	Mattis
6,363,635	April 2, 2002	England
6,279,212	August 2, 2001	Balch
6,088,955	July 18, 2000	Nelson et al.
6,088,973	July 18, 2000	Weiss
5,875,529	March 2, 1999	Ollier
5,675,876	October 14, 1997	Benedict et al.
5,088,167	February 18, 1992	Rahe
4,697,316	October 6, 1987	Semon
3,435,494	April 1, 1969	Pothier
2,075,859	April 6, 1937	Martin

For the Examiner's convenience, Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2)(as modified by the Commissioner's Notice dated July 11, 2003). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

Oct. 1, 2004  
Date

Vincent J. Gnoffo  
Vincent J. Gnoffo (Reg. No. 44,714)



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: 10.1.04 Name: Vincent J. Gnoffo, 44,714Signature: Vincent J. Gnoffo

BRINKS  
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& LIONE

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal Cover Letter (1p. Filed in Dup.); Information Disclosure Statement (2pp.); PTO Form 1440 (1p.)
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 44=			x \$88=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$300=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

Oct. 1, 2004

Respectfully submitted,

Vincent J. Gnoffo  
Vincent J. Gnoffo (Reg. No. 44,714)



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Vincent J. Gnoffo  
Vincent J. Gnoffo (Reg. No. 44,714)



FORM PTO-1449	SERIAL NO. 10/633,394	CASE NO. 568/261
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE July 31, 2003	GROUP ART UNIT 3677
(use several sheets if necessary)		APPLICANT(S): Michael S. Pazar

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,754,940	June 29, 2004	Boots		
	A2	6,463,703	October 15, 2002	Mattis		
	A3	6,363,635	April 2, 2002	England		
	A4	6,279,212	August 2, 2001	Balch		
	A5	6,088,955	July 18, 2000	Nelson et al.		
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	A11	3,435,494	April 1, 1969	Pothier		
	A12	2,075,859	April 6, 1937	Martin		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

**EXAMINER  
INITIAL****OTHER ART – NON PATENT LITERATURE DOCUMENTS**

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)


**EXAMINER****DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.